## Circle actions on 7-manifolds

Yi Jiang\* Institute of Mathematics, Chinese Academy of Sciences, Beijing 100190, China

March 26, 2013

#### Abstract

We determine the homeomorphism types of those 2-connected 7-manifolds that admit regular circle actions.

2010 Mathematics subject classification: 55R15 (55R40)

**Key Words and phrases:** Kirby–Siebenmann invariant,  $\mu$ –invariant,

characteristic classes

Email address: jiangyi@amss.ac.cn

## 1 Introduction

In this paper all manifolds under consideration are closed, oriented and topological, unless otherwise stated. Moreover, all homeomorphisms and diffeomorphisms considered are to be orientation preserving. Given a positive integer n let  $S^n$  be the diffeomorphism type of the unit n-sphere in (n+1)-dimensional Euclidean space  $\mathbb{R}^{n+1}$ .

**Definition 1.1.** A circle action  $S^1 \times M \to M$  on a manifold M is called regular if this action is free and the orbit space  $N := M/S^1$  (with quotient topology) is a manifold.

For a given manifold M one can ask

**Problem 1.2.** does M admit a regular circle action?

Solutions to Problem 1.2 can have direct implications in contact topology. For example, the Boothby-Wang theorem implies that, in the smooth category, the existence of a regular circle action on a manifold M is a necessary condition to the existence of a regular contact form on M (see [7, p.341] for instance).

 $<sup>^{\</sup>ast}\mathrm{The}$  author's research is partially supported by 973 Program 2011CB302400 and NSFC 11131008.

Problem 1.2 has been solved for all 1-connected 5-manifolds by Duan and Liang in [5]. In particular, it was shown that all 1-connected 4-manifolds with second Betti number r can be realized as the orbit spaces of some regular circle actions on the single 5-manifold  $\#_{r-1}S^2 \times S^3$ , the connected sums of r-1 copies of the product manifold  $S^2 \times S^3$ . In this paper we study Problem 1.2 for the 2-connected 7-manifolds.

Based on the construction of  $S^3$ -bundles over  $S^4$  from the structure group  $\pi_3(SO(4)) = \mathbb{Z} \oplus \mathbb{Z}$  and resorting to the surgery theory for the 2-connected 7-manifolds we shall present explicitly a family  $M_{l,k}^c$  of 2-connected 7-manifolds with  $c \in \{0,1\}$  and  $l,k \in \mathbb{Z}$  in Section 3.2. In terms of the manifolds  $M_{l,k}^c$  our main result is stated below, where  $\mathbb{N}$  denotes the set of all non-negative integers.

**Theorem 1.3.** Let M be a 2-connected 7-manifold. Then M admits a regular circle action if and only if M is homeomorphic to

$$\#_{2r}S^3 \times S^4 \# M^c_{6m,(1+c)k}$$

where  $c \in \{0, 1\}, r \in \mathbb{N}$  and  $m, k \in \mathbb{Z}.\square$ 

In the course to establish Theorem 1.3 we obtain also a classification on the 6-manifolds that can appear as the orbit manifolds of some regular circle actions on 2-connected 7-manifolds, see Lemma 2.1 and Lemma 2.2 in Section 2. In addition, our method applies equally to obtain a result analogue to Theorem 1.3 in the smooth category, see Theorem 4.1 in Section 4.

# 2 The homeomorphism types of the orbit spaces

In this section we determine the homeomorphism types of those 6-manifolds which can appear as the orbit spaces of some regular circle actions on 2-connected 7-manifolds. Note that a manifold M admits a regular circle action if and only if it is the total space of a principal  $S^1$ -bundle  $M \to N$  with base space N a manifold.

Let M be a 2-connected 7-manifold that admits a regular circle action with orbit space  $N := M/S^1$ . From the homotopy exact sequence

$$0 \to \pi_2(M) \to \pi_2(N) \to \pi_1(S^1) \to \pi_1(M) \to \pi_1(N) \to 0$$

of the  $S^1$ -fibration  $M \to N$  one finds that

$$\pi_1(N) = 0; \, \pi_2(N) \cong \pi_1(S^1) \cong \mathbb{Z}.$$

Consequently, N is a 1-connected 6-manifold with  $H_2(N) \cong \mathbb{Z}$ .

Conversely, for each 1-connected 6-manifold N with  $H_2(N) \cong \mathbb{Z}$  let  $t \in H^2(N) = \mathbb{Z}$  be a generator and let

$$S^1 \hookrightarrow N_t \to N$$

be the oriented circle bundle over N with Euler class t. From the homotopy exact sequence of this fibration we find that the total space  $N_t$  is 2-connected. Summarizing we get

**Lemma 2.1.** Let  $S^1 \times M \to M$  be a regular circle action on a 2-connected 7-manifold M with orbit space N. Then N is a 1-connected 6-manifold with  $H_2(N) \cong \mathbb{Z}$ .

Conversely, every 1-connected 6-manifold N with  $H_2(N) \cong \mathbb{Z}$  can be realized as the orbit space of some regular circle action on a 2-connected 7-manifold.

In view of Lemma 2.1 the classification of those 1-connected 6-manifolds N with  $H_2(N) \cong \mathbb{Z}$  amounts to a crucial step toward a solution to Problem 1.2. In terms of the known invariants for 1-connected 6-manifolds due to Jupp [10] and Wall [22], we can enumerate all these manifolds in the next result. For convenience denote by  $\Theta$  the set of all 1-connected 6-manifolds N whose integral cohomology satisfies

$$H^r(N) = \begin{cases} \mathbb{Z} & \text{if } r = 0, 2, 4, 6; \\ 0 & \text{otherwise.} \end{cases}$$

For each manifold  $N \in \Theta$  fix a generator t for  $H^2(N)$  and a generator x for  $H^4(N)$  respectively such that the evaluation  $\langle t \cup x, [N] \rangle$  of the cup product  $t \cup x$  on the fundamental class [N] of N is 1. Consider functions

$$k, p: \Theta \to \mathbb{Z}; \ \varepsilon: \Theta \to \{0, 1\}; \ \delta: \Theta \to \{0, 1\}$$

defined by the following rule

- i)  $t^2 = k(N)x$ ;
- ii) the second Stiefel-Whitney class  $w_2(N)$  and the first Pontrjagin class  $p_1(N)$  of N are given by  $\varepsilon(N)t \mod 2$  and p(N)x, respectively;
- iii) the class  $\Delta(N) \equiv \delta(N)x \mod 2 \in H^4(N; \mathbb{Z}_2)$  is the Kirby-Siebenmann invariant of N

(The Kirby-Siebenmann invariant  $\Delta(V)$  of a manifold V is the obstruction to lift the classifying map  $V \to BTOP$  for the stable tangent bundle of V to BPL, where BTOP and BPL are the classifying spaces for the stable TOP bundles and PL bundles, respectively).

[10, Theorem 1] implies that two manifolds  $N_1, N_2 \in \Theta$  are homeomorphic if and only if either

$$(k(N_1), p(N_1), \varepsilon(N_1), \delta(N_1)) = (k(N_2), p(N_2), \varepsilon(N_2), \delta(N_2))$$
  
or  $(k(N_1), p(N_1), \varepsilon(N_1), \delta(N_1)) = (-k(N_2), -p(N_2), \varepsilon(N_2), \delta(N_2)).$ 

This shows that  $\{k, p, \varepsilon, \delta\}$  is a set of complete invariants for elements in  $\Theta$ . Furthermore, we have

**Lemma 2.2.** For each 1-connected 6-manifold M with  $H_2(M) \cong \mathbb{Z}$  there exists an integer  $r \in \mathbb{N}$  and a manifold  $N \in \Theta$  unique up to homeomorphism so that  $M = \#_r S^3 \times S^3 \# N$ .

Moreover, the system  $\{k, p, \varepsilon, \delta\}$  is a set of complete invariants for elements N in  $\Theta$  that is subject to the following constraints:

i) if k(N) is odd, then  $\varepsilon(N) = 0$  and

$$p(N) = 24m + 4k(N) + 24\delta(N);$$

ii) if k(N) is even, then

$$p(N) = \left\{ \begin{array}{ll} 24m + 4k(N) + 24\delta(N) & \text{if } \varepsilon(N) = 0 \\ 48m + k(N) + 24\delta(N) & \text{if } \varepsilon(N) = 1 \end{array} \right.,$$

for some  $m \in \mathbb{Z}$ .

In addition, a manifold  $N \in \Theta$  has a smooth structure if and only if  $\delta(N) = 0$ .

**Proof.** This is a direct consequence of [10, Theorem 0; Theorem 1]. In particular, the expressions of the function p are deduced from the relation on  $H^6(N)$  (see [10, Theorem 1]):

$$(2ct + \varepsilon(N)t)^3 \equiv (p(N)x + 24\delta(N)x)(2ct + \varepsilon(N)t) \bmod 48$$

for all  $c \in \mathbb{Z}.\square$ 

## 3 Circle bundles over $N \in \Theta$

Lemma 2.2 singles out the family  $\Theta$  of 1-connected 6-manifolds which plays a key role in presenting the orbit spaces of regular circle actions on 2-connected 7-manifolds. In this section we determine the homeomorphism type of the total space  $N_t$  of the circle bundle over  $N \in \Theta$  with Euler class the fixed generator  $t \in H^2(N) \cong \mathbb{Z}$ . For this purpose we shall recall in Section 3.1 the definition of the known invariant system associated to 2-connected 7-manifolds; In Section 3.2 we give an explicit construction of the manifolds  $M_{l,k}^c$  appearing in Theorem 1.3. The main result in this section is Lemma 3.3, which identify the homeomorphism types of the manifolds  $N_t$  with certain  $M_{l,k}^c$ .

#### 3.1 Invariants for 2-connected 7-manifolds

Recall from Eells, Kuiper [6], Kreck, Stoltz [11] and Wilkens [23] that associated to each 2—connected 7—manifold M there is a system  $\{H, q_1, b, \Delta, \mu, s_1\}$  of invariants characterized by the following properties:

- i) H is the forth integral cohomology group  $H^4(M)$ ;
- ii)  $q_1(M) \in H^4(M)$  is the first spin characteristic class of M (see [20]);
- iii)  $b: \tau(H) \otimes \tau(H) \to \mathbb{Q}/\mathbb{Z}$  is the linking form on the torsion part  $\tau(H)$  of the group H;
- iv)  $\Delta(M) \in H^4(M; \mathbb{Z}_2)$  is the Kirby–Siebenmann invariant of M.

where the relation between the class  $q_1(M)$  and the first Pontrjagin class  $p_1(M)$  is  $2 \cdot q_1(M) = p_1(M)$ . Furthermore, if the manifold M is smooth (resp. topological) and bounds an 8-dimensional manifold W with the induced map  $j^*: H^4(W, M; \mathbb{Q}) \to H^4(W; \mathbb{Q})$  an isomorphism, then

v) the invariant  $\mu \in \mathbb{Q}/\mathbb{Z}$  (resp.  $s_1 \in \mathbb{Q}/\mathbb{Z}$ ) is defined and its value is given by the formula below

$$\mu(M) \equiv -\frac{1}{2^{5.7}}\sigma(W) + \frac{1}{2^{7.7}}p_1^2(W) - \frac{1}{2^{6.3}}z^2 \cdot p_1(W) + \frac{1}{2^{7.3}}z^4 \operatorname{mod} \mathbb{Z}$$
(resp.  $s_1(M) = 28\mu(M)$ )

where  $z \in H^2(W)$  is a class whose mod 2 reduction gives the second Stiefel–Whitney class  $w_2(W)$ ;  $\sigma(W)$  is the signature of the bilinear form

$$H^4(W, M; \mathbb{Q}) \times H^4(W, M; \mathbb{Q}) \stackrel{\cup}{\to} H^8(W, M; \mathbb{Q}) = \mathbb{Q}$$

on  $H^4(W, M; \mathbb{Q})$ , and where  $p_1^2(W)$ ,  $z^2 \cdot p_1(W)$  and  $z^4$  are the characteristic numbers

$$\langle p_1(W) \cup j^{*-1} p_1(W), [W, M] \rangle, \langle z^2 \cup j^{*-1} p_1(W), [W, M] \rangle, \langle z^2 \cup j^{*-1} z^2, [W, M] \rangle,$$

respectively.

**Example 3.1.** Let  $N_t$  be the total space of the oriented circle bundle over  $N \in \Theta$  with Euler class the fixed generator  $t \in H^2(N) \cong \mathbb{Z}$ . Then the system  $\{H, q_1, b, \Delta, \mu, s_1\}$  of invariants for the manifold  $N_t$  can be expressed in terms of the invariants for the 6-manifold N introduced in Lemma 2.2 as follows. For simplicity we write  $p, k, \varepsilon$  and  $\delta$  in the places of  $p(N), k(N), \varepsilon(N)$  and  $\delta(N)$ , respectively.

i)  $H^4(N_t) = \mathbb{Z}_k$  with generator  $\pi^*(x)$ , where  $\pi: N_t \to N$  is the bundle projection and

$$\mathbb{Z}_k = \left\{ \begin{array}{cc} \mathbb{Z} & \text{if } k = 0 \\ \mathbb{Z}/k\mathbb{Z} & \text{if } k \neq 0 \end{array} \right.;$$

ii) 
$$\Delta(N_t) \equiv \frac{1+(-1)^k}{2} \cdot \delta \mod 2;$$

iii) 
$$q_1(N_t) \equiv \frac{p+\varepsilon k}{2} \mod k;$$

iv) 
$$b(\pi^*(x), \pi^*(x)) \equiv \frac{1}{k} \mod \mathbb{Z};$$

$$v)\mu(N_t) \equiv -\frac{|k|}{2^5 \cdot 7k} + \frac{(p+k)^2}{2^7 \cdot 7k} + \frac{(\varepsilon-1)(2p+k)}{2^7 \cdot 3} \mod \mathbb{Z};$$

vi) 
$$s_1(N_t) = 28\mu(N_t)$$
.

Firstly, from the section

$$H^2(N) \stackrel{\cup t}{\to} H^4(N) \stackrel{\pi^*}{\to} H^4(N_t) \to 0$$

in the Gysin sequence (see [16, p.143]) of the fibration  $N_t \stackrel{\pi}{\to} N$  and from the relation  $t^2 = kx$  on  $H^4(N)$  we find that  $H^4(N_t) = \mathbb{Z}_k$  with generator  $\pi^*(x)$ . This shows i).

Next, let  $f: N \to BTOP$  be the classifying map for the stable tangent bundle of N, then, as is clear, the classifying map for the stable tangent bundle of  $N_t$  is given by the composition  $f \circ \pi : N_t \to N \to BTOP$ . It follows that the Kirby-Siebenmann invariant  $\Delta(N_t)$  of the manifold  $N_t$  is  $\pi^*\Delta(N) \equiv \delta\pi^*(x) \mod 2$ . This shows ii).

To calculate the remaining invariants  $q_1, b, \mu, s_1$  of the manifold  $N_t$  we make use of the disk bundle  $W_t \stackrel{\pi_0}{\to} N$  associated with the oriented 2-plane bundle  $\xi_t$  over N with Euler class t. If  $\varepsilon = 1$ , It follows from the decomposition  $TW_t = \pi_0^* TN \oplus \pi_0^* \xi_t$  for the tangent bundle of  $W_t$  that  $w_2(W_t) = 0$  and  $q_1(W_t) = \frac{p+k}{2} \pi_0^* x$ . So from the relation  $\partial W_t = N_t$  we get

$$q_1(N_t) = \frac{p+k}{2} \pi^* x.$$

If  $\varepsilon=0$ , from the decomposition  $TN_t\cong \pi^*TN\oplus \varepsilon^1$  we get

$$q_1(N_t) = \frac{p}{2}\pi^*(x).$$

This shows iii).

To compute the linking form b of  $N_t$  we can assume that  $k \neq 0$  in view of the presentation  $H^4(N_t) = \mathbb{Z}_k$  with generator  $\pi^*(x)$ . Consider the commutative ladder of exact sequences

with  $\phi$  the Thom isomorphism (see [16, p.106]). Since  $y := \phi(t)$  is a generator of  $H^4(W_t, N_t)$  with  $j^*(y) = \pi_0^*(t^2) = k\pi_0^*(x)$  and since  $\pi^*(x) = i^*\pi_0^*(x)$  we get

$$b(\pi^*(x), \pi^*(x)) \equiv \frac{1}{k} < y \cup \pi_0^* x, [W, M] > \equiv \frac{1}{k} \mod \mathbb{Z}.$$

This shows iv).

Since the induced map  $j^*: H^4(W_t, N_t; \mathbb{Q}) \to H^4(W_t; \mathbb{Q})$  is clearly an isomorphism when  $k \neq 0$ , the invariants  $\mu$  and  $s_1$  are defined for  $N_t$ . Moreover, from the Poincaré duality and the relation  $j^*(y) = k\pi_0^*(x)$  we get  $\sigma(W_t) = \frac{|k|}{k}$ . From the decomposition  $TW_t \cong \pi_0^*(TN \oplus \xi_t)$  we get, in addition to

$$p_1(W_t) = \pi_0^*(p_1(N) + t^2) = (p+k)\pi_0^*(x),$$

that

$$w_2(W_t) \equiv (\varepsilon + 1)\pi_0^*(t) \bmod 2.$$

Therefore we can take  $z = (1 - \varepsilon)\pi_0^*(t)$  in the formulae for  $\mu$  and  $s_1$ , and as a result

$$z^{2} = (1 - \varepsilon)^{2} \pi_{0}^{*}(t^{2}) = k(1 - \varepsilon)^{2} \pi_{0}^{*}(x);$$

As the group  $H^4(W_t, N_t) = \mathbb{Z}$  is generated by  $y = \phi(t)$  with the relation  $j^*(y) = k\pi_0^*(x)$ , the isomorphism

$$H^4(W_t, N_t) \otimes H^4(W_t) \stackrel{\cup}{\to} H^8(W_t, N_t)$$

by the Poincaré duality, together with the formulae for  $p_1(W_t)$  and  $z^2$  above, implies the next relations

$$z^{2}p_{1}(W_{t}) = (1 - \varepsilon)^{2}(p + k); p_{1}^{2}(W_{t}) = \frac{1}{k}(p + k)^{2}; z^{4} = k(1 - \varepsilon)^{4}.$$

Substituting these values in the formulae for  $\mu$  and  $s_1$  yields v) and vi), respectively.

This completes the computation of the invariant system  $\{H, \Delta, q_1, b, s_1, \mu\}$  for the manifolds  $N_t$ .  $\square$ 

## **3.2** The construction of the 7-manifolds $M_{l,k}^c$ , $c \in \{0,1\}$

Identify the algebra  $\mathbb{H}$  of quaternions with the 4-dimensional Euclidean space  $\mathbb{R}^4$  and regard the set of unit quaternions as the 3-dimensional sphere  $S^3$ . For a pair (l,k) of integers let  $f_{l,k}: S^3 \to SO(4)$  be the map defined by

$$f_{l,k}(u)v = u^{l+k}vu^{-l}, v \in \mathbb{R}^4,$$

where quaternion multiplication is understood on the right hand side of the formula. Let  $M_{l,k}^0$  (resp.  $W_{l,k}^0$ ) be the total space of the  $S^3$ -bundle (resp.  $D^4$ -bundle) over the sphere  $S^4$  with characteristic map  $[f_{l,k}] \in \pi_3(SO_4)$  and bundle projection

$$\pi_M: M_{l,k}^0 \to S^4 \text{ (resp. } \pi_W: W_{l,k}^0 \to S^4).$$

It is clear that  $M_{l,k}^0$  is a 2-connected 7-manifold which carries a canonical smooth structure. What we shall also need is an analogue of the manifold  $M_{l,k}^0$  in the non-smooth category when  $k \equiv 0 \mod 2$ , called  $M_{l,k}^1$ , specified below.

For an m-manifold W with boundary write  $\mathcal{S}^{TOP}(W)$  for the set of homeomorphism classes of the m-manifolds W', together with homotopy equivalences  $h:(W',\partial W')\to (W,\partial W)$  (see [13, Chapter 2]). Let [W',h] denote the equivalence class of (W',h). Furnish the two sets  $\mathcal{S}^{TOP}(M_{l,k}^0)$  and  $\mathcal{S}^{TOP}(W_{l,k}^0)$  with the structures of abelian groups so that the one to one correspondences

$$\mathcal{S}^{TOP}(M_{l,k}^0) \stackrel{\eta}{\to} [M_{l,k}^0, G/TOP] \text{ and } \mathcal{S}^{TOP}(W_{l,k}^0) \stackrel{\eta}{\to} [W_{l,k}^0, G/TOP]$$

in the surgery exact sequence are isomorphisms, where G (resp. TOP) is the monoid of self homotopy equivalences of  $S^{n-1}$  (resp. the group of origin-preserving homeomorphisms of  $\mathbb{R}^n$ ) in the stable range (see [2, p.24-25] and [13, p.40-44]). On the other hand, as the groups  $H^i(M^0_{l,k}; \pi_i(G/TOP))$  and  $H^i(W^0_{l,k}; \pi_i(G/TOP))$  are trivial except for i=4 (see [13, P.43-44]), the obstruction theory implies that the primary obstructions to null-homotopy induce isomorphisms (see [4, p.179] and [9, p.192])

$$[M_{l,k}^0, G/TOP] \xrightarrow{d} H^4(M_{l,k}^0; \pi_4(G/TOP)) = H^4(M_{l,k}^0; [W_{l,k}^0, G/TOP] \xrightarrow{d} H^4(W_{l,k}^0; \pi_4(G/TOP)) = H^4(W_{l,k}^0).$$

Then consider the following commutative diagram:

$$\mathcal{S}^{TOP}(W_{l,k}^0) \quad \stackrel{\eta}{\cong} \quad [W_{l,k}^0, G/TOP] \quad \stackrel{d}{\cong} \quad H^4(W_{l,k}^0) \cong \mathbb{Z}$$

$$i^* \downarrow \qquad \qquad i^* \downarrow \qquad \qquad i^* \downarrow$$

$$\mathcal{S}^{TOP}(M_{l,k}^0) \quad \stackrel{\eta}{\cong} \quad [M_{l,k}^0, G/TOP] \quad \stackrel{d}{\cong} \quad H^4(M_{l,k}^0) \cong \mathbb{Z}_k$$

where  $i^*: \mathcal{S}^{TOP}(W_{l,k}^0) \to \mathcal{S}^{TOP}(M_{l,k}^0)$  sends each [W,h] to the restriction  $[\partial W,h|_{\partial W}]$ . Fix a generator  $\iota$  of  $H^4(S^4)$  as in [3]. Write  $[W_{l,k}^1,h_W]$  for the generator  $(d \circ \eta)^{-1}(\pi_W^*(\iota))$  of the cyclic group  $\mathcal{S}^{TOP}(W_{l,k}^0) = \mathbb{Z}$  and set

$$\left(M_{l,k}^1, h_M\right) := \left(\partial W_{l,k}^1, h_W|_{\partial W_{l,k}^1}\right).$$

Clearly, the 7—manifold  ${\cal M}^1_{l,k}$  is 2—connected and unique up to homeomorphism with

$$d \circ \eta \left( \left[ M_{l,k}^1, h_M \right] \right) = \pi_M^*(\iota).$$

**Example 3.2.** The invariant system  $\{H, \Delta, q_1, b, s_1, \mu\}$  of the manifolds  $M_{l,k}^c$ ,  $c \in \{0,1\}$ , has been computed by Crowley and Escher in [3] for the case of c = 0. We extend their calculation as to include the exceptional case of c = 1.

i)  $H^4(M_{l,k}^c) = \mathbb{Z}_k$  with a generator

$$\kappa = \left\{ \begin{array}{ll} \pi_M^*(\iota) & \text{if } c = 0 \\ (\pi_M \circ h_M)^*(\iota) & \text{if } c = 1 \end{array} \right.;$$

- ii)  $b(\kappa, \kappa) \equiv \frac{1}{k} \mod \mathbb{Z}$ ;
- iii)  $\Delta(M_{l,k}^c) \equiv \frac{1+(-1)^k}{2} \cdot c \mod 2;$
- iv)  $q_1(M_{l,k}^c) \equiv 2l + 12c \mod k;$
- v)  $s_1(M_{l,k}^c) \equiv \frac{(2l+k+12c)^2-|k|}{8k} \mod \mathbb{Z};$
- vi)  $\mu(M_{l,k}^0) \equiv \frac{(k+2l)^2 |k|}{28 \cdot 8k} \mod \mathbb{Z}$ .

Firstly, since  $h_M: M^1_{l,k} \to M^0_{l,k}$  is a homotopy equivalence, we get i) and ii) from the relations  $H^4(M^0_{l,k}) = \mathbb{Z}_k$  with generator  $\pi_M^*(\iota)$  and  $b(\pi_M^*(\iota), \pi_M^*(\iota)) \equiv \frac{1}{k} \mod \mathbb{Z}$  for c = 0.

Next, since the map  $\mathcal{S}^{TOP}(M_{l,k}^0) \stackrel{\Delta}{\to} H^4(M_{l,k}^0; \mathbb{Z}_2)$  of taking Kirby–Siebenmann class is a surjective homomorphism (see [19, Theorem 15.1]), and since  $\left[M_{l,k}^1, h_M\right]$  is a generator of the cyclic group  $\mathcal{S}^{TOP}(M_{l,k}^0)$ , then we have

$$\Delta(M_{l,k}^1) = \Delta(\left[M_{l,k}^1, h_M\right]) = \frac{1 + (-1)^k}{2} \kappa \operatorname{mod} 2.$$

This shows iii)

In order to find the formula of  $q_1(M_{l,k}^c)$  we compute the first Pontrjagin class  $p_1(W_{l,k}^c)$  of  $W_{l,k}^c$ . Let  $\alpha$  be a generator of  $H^4(W_{l,k}^c) = \mathbb{Z}$  such that

$$\alpha = \begin{cases} \pi_W^*(\iota) & \text{if } c = 0; \\ (\pi_W \circ h_W)^*(\iota) & \text{if } c = 1; \end{cases}$$

and associate an integer  $p(W_{l,k}^c)$  to  $W_{l,k}^c$  such that  $p_1(W_{l,k}^c) = p(W_{l,k}^c)\alpha$ . It follows from the isomorphism  $\mathcal{S}^{TOP}(W_{l,k}^0) \stackrel{\eta}{\to} [W_{l,k}^0, G/TOP]$  that

$$p(W_{l,k}^1)\pi_W^*(\iota) = p_1(W_{l,k}^0) + f^*p_1(G/TOP)$$

where  $f = d^{-1}(\pi_W^*(\iota))$  is the generator of  $[W_{l,k}^0, G/TOP]$  corresponding to the generator  $W_{l,k}^1$  and where  $p_1(G/TOP)$  corresponds to the first Pontrjagin class in  $H^4(BTOP)$  under the homomorphism  $H^4(BTOP) \to H^4(G/TOP)$  induced by the natural map  $G/TOP \to BTOP$ . From [19, Proposition 13.4] we get

$$f^*p_1(G/TOP) = 24\pi_W^*(\iota).$$

This, together with the fact  $p(W_{l,k}^0) = 2(k+2l)$  (see [14]) and the formula for  $p(W_{l,k}^1)$  above, implies that  $p(W_{l,k}^c) = 2k + 4l + 24c$ . Consequently, as  $M_{l,k}^c = \partial W_{l,k}^c$  we get iv).

Finally, we compute the invariant  $s_1$  of  $M_{l.k}^c$ . The exact sequence

$$H^4(W^c_{l\,k}, M^c_{l\,k}) \xrightarrow{j^*} H^4(W^c_{l\,k}) \to H^4(M^c_{l\,k}) \to 0,$$

together with the isomorphisms  $H^4(M_{l,k}^c) \cong \mathbb{Z}_k$  and  $H^4(W_{l,k}^c, M_{l,k}^c) \cong \mathbb{Z}$  by the Poincaré duality, implies that we can take a generator  $\beta$  of  $H^4(W_{l,k}^c, M_{l,k}^c)$  such that  $j^*(\beta) = k\alpha$ . Since  $j^*: H^4(W_{l,k}^c, M_{l,k}^c; \mathbb{Q}) \to H^4(W_{l,k}^c; \mathbb{Q})$  is an isomorphism for  $k \neq 0$  the invariant  $s_1$  is defined for  $M_{l,k}^c$ . It follows from the Poincaré duality and the relation  $j^*(\beta) = k\alpha$  that  $\sigma(W_{l,k}^c) = \frac{|k|}{k}$ . On the other hand, the formula for  $p(W_{l,k}^c)$ , together with the relation  $j^*(\beta) = k\alpha$  and the isomorphism

$$H^4(W_{lk}^c, M_{lk}^c) \otimes H^4(W_{lk}^c) \stackrel{\cup}{\to} H^8(W_{lk}^c, M_{lk}^c)$$

by the Poincaré duality, implies that

$$p_1^2(W_{l,k}^c) = \frac{4}{k}(k+2l+12c)^2.$$

In addition, the relation  $w_2(W_{l,k}^1) = w_2(W_{l,k}^0) = 0$  indicates that we can take z = 0 in the formula of  $s_1$ . Substituting the values of  $\sigma(W_{l,k}^c), z, p_1^2(W_{l,k}^c)$  in the formula for  $s_1$ , yields v).

Similarly, we get vi) shown by Crowley and Escher (see [3]).

This completes the computation of the invariant system  $\{H, \Delta, q_1, b, s_1, \mu\}$  for the manifolds  $M_{l,k}^c$ .  $\square$ 

### 3.3 Circle bundles over $N \in \Theta$

It is shown in [6] that the invariant  $\mu$  is additive with respect to connected sums and can be used to distinguish exotic 7-spheres. According to  $\mu(M_{1,1}^0) \equiv \frac{1}{28} \mod \mathbb{Z}$ , the group  $\Gamma_7$  of exotic 7-spheres is cyclic of order 28 and is generated by  $M_{1,1}^0$ . Let  $\Sigma_r := rM_{1,1}^0 \in \Gamma_7$ ,  $r \in \mathbb{Z}$ .

**Lemma 3.3.** Let  $N_t$  be the total space of the oriented circle bundle over  $N \in \Theta$  with Euler class the fixed generator  $t \in H^2(N)$ . Then there is a homeomorphism

$$N_t \cong M_{l,k}^c$$

with 
$$(k, c, l) = (k(N), \frac{1+(-1)^{k(N)}}{2} \cdot \delta(N), \frac{p(N)+(3\varepsilon(N)-4)\cdot k(N)-(1+(-1)^{k(N)})\cdot 12\delta(N)}{4}).$$
  
Furthermore, if  $\frac{1+(-1)^{k(N)}}{2} \cdot \delta(N) = 0$  and  $k(N) \neq 0$ , then the manifold

 $N_t$  has a smooth structure and one has a diffeomorphism

$$N_t \cong M_{l,k}^0 \# \Sigma_r$$

with 
$$(k, l, r) = (k(N), \frac{p(N) + (3\varepsilon(N) - 4) \cdot k(N)}{4}, \frac{(1 - \varepsilon(N)) \cdot (p(N) - 4k(N))}{24}).$$

**Proof.** Since  $\pi_i(PL/O) = 0$  for  $i \leq 6$  the manifold  $N_t$  is smoothable if and only if  $\Delta(N_t) = 0$  (see [13, p.33], [15] and [19, Theorem 5.4]). We divide the proof into two cases depending on whether  $N_t$  is smoothable.

Case 1.  $\Delta(N_t) \equiv 0 \mod 2$ : In this case the system  $\{H, q_1, b, s_1\}$  (resp.  $\{H,q_1,b,\mu\}$ ) is a complete set of homeomorphism (resp. diffeomorphism) invariants for the manifolds  $N_t, M_{l,k}^0$  (see [3] and [23]). The proof is completed by comparing the values of the invariants for  $N_t, M_{l,k}^0$  obtained in Example 3.1 and 3.2, respectively.

Case 2.  $\Delta(N_t) \equiv 1 \mod 2$ : We need to show that  $N_t$  is homeomorphic to  $M_{l,k}^1$  with

$$(k,l)=(k(N),\tfrac{p(N)+(3\varepsilon(N)-4)\cdot k(N)-24}{4}).$$

It suffices to find a homotopy equivalence  $q:N_t\to M_{l,k}^0$  such that  $[N_t,q]=$  $M_{l,k}^1, h_M$ .

By Lemma 2.2, there exists a manifold  $N' \in \Theta$  whose invariant system  $(k(N'), p(N'), \varepsilon(N'), \delta(N'))$  is  $(k(N), p(N) - 24, \varepsilon(N), 0)$ . Consider the map  $\eta: \mathcal{S}^{TOP}(N') \to [N', G/TOP]$  in the surgery exact sequence of N'. By the argument at the end of the proof of [10, Theorem 1] we can find a manifold  $N \in \Theta$  with a homotopy equivalence  $h_N : N \to N'$  such that

- i) the homotopy class  $\eta([N, h_N])$  is trivial on the 2 skeleton of
- ii) the primary obstruction to finding a null-homotopy of  $\eta([N, h_N])$ is the fixed generator  $x \in H^4(N')$ .

Pulling back  $h_N$  by the bundle projection  $\pi: N_t' \to N'$  induces a homotopy equivalence  $h_t: N_t \to N_t'$ . On the other hand, by the result of case 1 we get a homeomorphism  $u: M_{l,k}^0 \to N_t'$  such that  $u^*(\pi^*(x)) = \pi_M^*(\iota)$ . So it remains to show that  $[N_t, u^{-1} \circ h_t] = [M_{l,k}^1, h_M].$ 

Let  $[N', G/TOP]_2$  be the subset of [N', G/TOP] whose elements are trivial on the 2 skeleton of N' and consider the following two commutative diagrams:

where

i)  $\mathcal{S}^{TOP}(N') \xrightarrow{\pi^*} \mathcal{S}^{TOP}(N'_t)$  maps  $\left[N'', h''\right]$  to  $\left[N''_t, h''_t\right]$  with  $h''_t$  a pull-back of h'' by the bundle projection  $\pi: N'_t \to N'$ ;

ii) 
$$\mathcal{S}^{TOP}(N_t') \stackrel{u^*}{\to} \mathcal{S}^{TOP}(M_{l,k}^0)$$
 maps  $\left[M^{'},g^{'}\right]$  to  $\left[M^{'},u^{-1}\circ g^{'}\right]$ ;

iii) the maps d send a homotopy class to its primary obstruction to null-homotopy.

The diagrams above, together with the relations

$$\pi^* [N, h_N] = [N_t, h_t], \ u^*(\pi^*(x)) = \pi_M^*(\iota) \text{ and } d(\eta [N, h_N]) = x,$$

imply that  $u^*[N_t, h_t] = [M_{l,k}^1, h_M]$ , i.e.  $[N_t, u^{-1} \circ h_t] = [M_{l,k}^1, h_M]$ . This completes the proof of Case 2.

# 4 The main results and their proofs

In the final section, we establish Theorem 1.3 stated in Section 1 and obtain its analogue in the smooth category.

**Proof of Theorem 1.3.** Let M be a 2-connected 7-manifold which admits a regular circle action. According to Lemma 2.1 and Lemma 2.2 M must be the total space of an oriented circle bundle over  $N\#_rS^3\times S^3$  with Euler class  $\overline{t}\in H^2(N\#_rS^3\times S^3)=\mathbb{Z}$  a generator, where  $N\in\Theta$ ,  $r\in\mathbb{N}$ . We can regard  $\overline{t}\in H^2(N\#_rS^3\times S^3)$  corresponds to the fixed generator  $t\in H^2(N)\cong\mathbb{Z}$  under the isomorphism  $H^2(N)\to H^2(N\#_rS^3\times S^3)$  induced by the map  $N\#_rS^3\times S^3\to N$  collapsing  $\#_rS^3\times S^3$  to a point. By Lemma 2.2 and Lemma 3.3 it suffices for us to show that  $M=N_t\#_{2r}S^3\times S^4$ .

The connected sum  $N\#_rS^3\times S^3$  is obtained by removing open 6-disks

The connected sum  $N\#_rS^3 \times S^3$  is obtained by removing open 6-disks  $\overset{\circ}{D}_1$  and  $\overset{\circ}{D}_2$  from N and  $\#_rS^3 \times S^3$  respectively, and pasting the resulting boundaries by a diffeomorphism  $f: \partial D_2 \to \partial D_1$ . Since the restriction of the bundle  $N_t \to N$  on  $D_1$  is trivial we have the decomposition

$$M = (N_t \backslash \overset{\circ}{D}_1 \times S^1) \cup_{f \times id} ((\#_r S^3 \times S^3 \backslash \overset{\circ}{D}_2) \times S^1) = N_t \# M_0.$$

where  $id: S^1 \to S^1$  is the identity map, and where

$$M_0 = (S^7 \backslash \overset{\circ}{D}_1 \times S^1) \cup_{f \times id} ((\#_r S^3 \times S^3 \backslash \overset{\circ}{D}_2) \times S^1).$$

Since  $M_0$  is easily seen to be the total space of the oriented circle bundle over  $CP^3\#_rS^3\times S^3$  with Euler class a proper generator of  $H^2(CP^3\#_rS^3\times S^3)=\mathbb{Z}$ , a calculation similar to that in Example 3.1 shows that the invariant system  $\{H,q_1,b,\mu\}$  for  $M_0$  and  $\#_{2r}S^3\times S^4$  coincide. Consequently  $M_0$  is diffeomorphic to  $\#_{2r}S^3\times S^4$ . This shows that  $M=N_t\#_{2r}S^3\times S^4$ .

Let M be a smooth 2-connected 7-manifold which admits a smooth free circle action. Since such an action must be regular and the orbit manifold remains smooth (see [1, p.84] and [21, p.38, Proposition 5.2]), we still have the decomposition  $M = N_t \#_{2r} S^3 \times S^4$  where  $r \in \mathbb{N}, N \in \Theta$ , and the manifold  $N_t$  must be smooth. From Lemma 2.2 and the second part of Lemma 3.3 we get

**Theorem 4.1.** Let M be a smooth 2-connected 7-manifold whose third homology group  $H_3(M)$  is either of even rank, or has non trivial torsion subgroup. Then M admits a smooth free circle action if and only if M is diffeomorphic to

$$\#_{2r}S^3 \times S^4 \# M^0_{6(c+1)m,(c+1)k} \# \Sigma_{(1-c)m}$$

where  $c \in \{0,1\}, r \in \mathbb{N}$  and  $m,k \in \mathbb{Z}.\square$ 

A classical topic in topology is to decide which homotopy spheres admit smooth free circle action, see [8] [12] [17] [18] for instance. Combining Theorem 4.1 with the computation in Example 3.2 we get the following result shown by [17].

Corollary 4.2. Among the 28 homotopy 7–spheres  $\{\Sigma_r \mid 0 \le r \le 27\}$  only the following ones admit smooth free circle actions

$$\{\Sigma_r \mid r = 0, 4, 6, 8, 10, 14, 18, 20, 22, 24\}.\Box$$

In the smooth category and in terms of Theorem 4.1, the problem remains open for the 2-connected 7-manifolds whose third homology group  $H_3(M)$  is free and is of odd rank. The reason is that there is no complete diffeomorphism invariant system available for the 2-connected 7-manifolds M with  $H_3(M) = \mathbb{Z}$ .

Acknowledgement The author would like to express her gratitude to her supervisor Haibao Duan for helps concerning this work. Thanks also due to Yang Su and Yueshan Xiong for their valuable assistance.

## References

- [1] TH. Bröcker and K. Jänich, *Introduction to differential topology*, Cambridge, 1982.
- [2] W. Browder, Manifolds and homotopy theory. In "Proc. 1970 Amsterdam Conference on Manifolds." Lecture Notes in Mathematics 197, Springer, 1971, 17-35.
- [3] D. Crowley and C. Escher, A classification of  $S^3$ -bundles over  $S^4$ , Differential Geometry and its Applications 18 (2003), 363-380.
- [4] J. F. Davis and P. Kirk, *Lecture notes in algebraic topology*, Graduate Studies in Mathematics **35**, American Mathematical Society, 2001.
- [5] H. Duan and C. Liang, Circle bundles over 4-manifolds, Arch. Math. 85 (2005), 278-282.
- [6] J. Eells and N. Kuiper, An invariant for certain smooth manifolds, Annali di Math. 60 (1962), 93–110.
- [7] H. Geiges, An introduction to contact topology, Cambridge Studies in Advanced Mathematics 109, Cambridge, 2008.
- [8] W. C. Hsiang, A note on free differentiable actions of  $S^1$  and  $S^3$  on homotopy spheres. Ann. of Math. (2) **83** (1966), 266-272.
- [9] S. Hu, *Homotopy theory*. New York: Academic Press, 1959.
- [10] P. Jupp, Classification of certain 6-manifolds, Proc. Camb. Phil. Soc. 73 (1973), 293-300.
- [11] M. Kreck, S. Stolz, Some nondiffeomorphic homeomorphic homogeneous 7-manifolds with positive sectional curvature, J. Differential Geom. **33** (1991), 465-486.
- [12] R. Lee, Non-existence of free differentiable actions of  $S^1$  and  $\mathbb{Z}_2$  on homotopy spheres. Proc. Conference on Transformation Groups (New Orleans, 1967), Springer-Verlag, New York, 1968, 208-209.
- [13] I. Madsen and R. J. Milgram, The classifying spaces for surgery and cobordism of manifolds, Ann. of Math. Studies **92**, Princeton, 1979.
- [14] J. Milnor, On manifolds homeomorphic to the 7-sphere, Ann. of Math. **64** (1956), 399–405.
- [15] J. Milnor, *Microbundles and differentiable structures*, (mimeographed). Princeton Univ., Princeton, N. J., 1961.

- [16] J. Milnor and J. Stasheff, *Characteristic classes*, Ann. of Math. Studies **76**, Princeton, 1975.
- [17] D. Montgomery and C. T. Yang, Differentiable actions on homotopy seven spheres. II, Proc. Conference on Transformation Groups (New Orleans, La., 1967), Springer, New York, 1968, 125-134.
- [18] R. Schultz, The nonexistence of free S<sup>1</sup> actions on some homotopy spheres, Proc. Amer. Math. Soc, **27** (1971), 595-597.
- [19] L. C. Siebenmann, *Topological manifolds*. Proceedings I.C.M. Nice (1970).
- [20] E. Thomas, On the cohomology groups of the classifying space for the stable spinor group, Bol. Soc. Mat. Mexicana (2) 7 (1962), 57-69.
- [21] T. tom Dieck, *Transformation groups*, de Gruyter Studies in Mathematics **8**, Berlin, 1987.
- [22] C. T. C. Wall, Classification problems in differential topology. V. On certain 6-manifolds, Invent. Math. 1 (1966), 335-374.
- [23] D. Wilkens, Closed (s-1)-connected (2s+1)-manifolds, s=3,7, Bull. London Math. Soc. 4 (1972), 27-31.